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Practitioner's Docket No.: 791_161

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tomio SUZUKI

Serial No.: 09/954,712

Art Unit: 2874

Filed: September 18, 2001

Examiner: Not Assigned

Confirmation No.: 7619

For: MICROHOLE ARRAY, OPTICAL FIBER ARRAY, CONNECTOR, AND
PROCESS FOR PRODUCING MICROHOLE ARRAY

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to **Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231** on September 23, 2002.

Elizabeth P. VanAntwerp
Elizabeth A. VanAntwerp

REQUEST FOR CLARIFICATION OF STATUS

Sir:

A Notice to File Missing Parts of Non-provisional Application was mailed in connection with this application on October 19, 2001. Applicants responded to the Notice to File Missing Parts on December 13, 2001 (please see the Completion of Filing Requirements attached hereto as Appendix A), and those documents were received by the PTO on January 7, 2002 (please see our postcard receipt attached hereto as Appendix B).

Part of the documents filed December 13, 2001 (and received by the PTO on January 7, 2002) included the original Assignment document, which has since been recorded by the U.S. PTO and returned to applicant's undersigned representative (please see the Notice of Recordation of Assignment document attached hereto as Appendix C). The PTO PAIR system, however, does not indicate that the documents filed December 13, 2001 were ever received at the U.S. PTO. In fact, there are no entries on the PTO PAIR system for this application subsequent to the Notice to File Missing Parts mailed October 19, 2001.

In view of the foregoing, the PTO is requested to clarify the status of this application. The documents in Appendices B and C hereto clearly prove that all documents filed

December 13, 2001 were received by the PTO on January 7, 2002. Should the PTO need additional copies of any of these documents, the appropriate official is requested to contact applicant's undersigned representative.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

September 23, 2002
Date

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320



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Page 1 of 2

Application Number	09/954,712	<p>I hereby certify that on <u>December 13, 2001</u> this correspondence is being deposited with the United States Postal Service as first class mail addressed to:</p> <p>Box Missing Parts Assistant Commissioner for Patents Washington D.C. 20231</p> <p><i>Elizabeth A. VanAntwerp</i> Elizabeth A. VanAntwerp</p>
Filing Date	September 18, 2001	
First Named Inventor	Tomio SUZUKI	
Art Unit	2874	
Examiner Name	Not Assigned	
Confirmation No.	7619	
Attorney Docket No.	791 161	

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

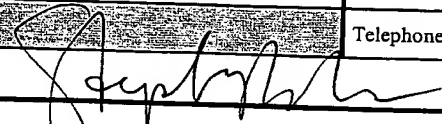
**COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION**

Sir:

1. ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed October 19, 2001.
 - ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.
2. **Declaration or Oath**
 - ☒ No Declaration or Oath was filed. Enclosed is the original Declaration or Oath for this application.
3. **Transmittal of English Translation of Non-English Language Papers**
 - ☒ Submitted herewith is an English translation of the non-English language application papers (*including drawings*) as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.
4. **Other Papers Enclosed**
 - ☒ A Supplemental Bibliographic Data Sheets (two pages)
 - ☐ A Preliminary Amendment
 - ☒ A Submission of Certified Copies of Priority Documents and certified copies of:

JP 2001-162148 filed May 30, 2001 and
JP 2001-223931 filed July 25, 2001
 - ☒ An Information Disclosure Statement
 - ☒ Form PTO-1449
 - ☒ Copies of IDS Citations
 - ☒ An Assignment with Assignment Transmittal

5. The filing fee has been calculated as shown below:					
Basic Filing Fee (37 CFR §1.16(a))					\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	21 - 20 =	1	×	\$ 18.00	\$ 18.00
Indep. Claims	4 - 3 =	1	×	\$ 84.00	\$ 84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$ 280.00	
6. Surcharge Fees (37 CFR 1.16(e))					
<input checked="" type="checkbox"/> late payment of filing fee and/or					\$ 130.00
<input checked="" type="checkbox"/> late filing of original declaration or oath					
7. Extension of Time					
<input checked="" type="checkbox"/> Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.					
TOTAL OF ABOVE CALCULATIONS =					\$ 972.00
8. Small Entity Status					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =					\$ 972.00
<input checked="" type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d))					\$ 130.00
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))					\$ 40.00
TOTAL FEES DUE =					\$ 1,142.00
9. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment					
<input checked="" type="checkbox"/> A check in the amount of \$1,142.00 is enclosed.					
<input type="checkbox"/> Charge Deposit Account 50-1446 in the amount of \$_____. Enclosed is a duplicate copy of this sheet.					
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-1446:					
a. <input checked="" type="checkbox"/> Fees required under 37 CFR 1.16.					
b. <input checked="" type="checkbox"/> Fees required under 37 CFR 1.17.					
c. <input type="checkbox"/> Fees required under 37 CFR 1.18.					

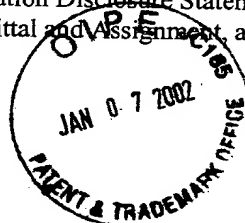
Submitted By:					
Name	Stephen P. Burr	Reg. No.	32,970	Customer No.	025191
Signature		Telephone	(315) 233-8300	Facsimile	(315) 233-8320
				Date	December 13, 2001



HS

Your mail room stamp hereon will acknowledge receipt of a Completion of Filing Requirements - Nonprovisional Application (in duplicate), a Supplemental Bibliographic Data Sheets (two pages), a copy of Formalities Letter dated October 19, 2001, a combined Declaration and Power of Attorney (3 pages), a verified English Translation of non-English application as originally filed including drawings, a Submission of Certified Copies of Priority Documents and certified documents of JP 2001-162148 (5-30-01) and JP 2001-223931 (7-25-01), an Information Disclosure Statement, Form PTO-1449 and one reference, an Assignment Transmittal and Assignment, and our check in the amount of \$1,142.00 for:

Applicant(s): Tomio SUZUKI
Ser. No.: 09/954,712
Date Filed: September 18, 2001
Our Docket No. 791_161



Title: MICROHOLE ARRAY, OPTICAL FIBER ARRAY, CONNECTOR, AND
MICROHOLE ARRAY MANUFACTURING METHOD

Art Unit: 2874
Examiner: Not Assigned
Conf. No.: 7619

Date of Mailing: December 13, 2001

SPB/eav



UNITED STATES
PATENT AND
TRADEMARK OFFICE

MARCH 06, 2002

PTAS

BURR & BROWN
STEPHEN P. BURR
P.O. BOX 7068
SYRACUSE, NY 13261-7068

79161
Chief Information Officer
Washington, DC 20231
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/14/2002

REEL/FRAME: 012445/0849
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SUZUKI, TOMIO

DOC DATE: 10/29/2001

ASSIGNEE:

NGK INSULATORS, LTD.
2-56, SUDA-CHO, MIZUHO-KU
NAGOYA, AICHI-PREFECTURE 467-8530

JAPAN

SERIAL NUMBER: 09954712
PATENT NUMBER:

FILING DATE: 09/18/2001
ISSUE DATE:

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

